

\*Investors should consult their financial advisers if in doubt about whether the product is suitable

**APPLICATION FORM FOR SBI QUANT FUND (Please fill in BLOCK Letters)**

| ARN & Name of Distributor | Branch Code<br>(only for SBG) | Sub-Broker ARN Code | Sub-Broker Code | EUIN*<br>(Employee Unique Identification Number) | Reference No. |
|---------------------------|-------------------------------|---------------------|-----------------|--|---------------|
|                           |                               |                     |                 |  |               |

Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction 1 (p))

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

|              |   |  |  |
|--------------|---|--|--|
| SIGNATURE(S) |   |  |  |
|              | 1 <sup>st</sup> Applicant / Guardian / Authorised Signatory | 2 <sup>nd</sup> Applicant / Authorised Signatory | 3 <sup>rd</sup> Applicant / Authorised Signatory |

EXISTING FOLIO NO.  NAME

**1. FIRST APPLICANT DETAILS**

Name   
(Mr. / Ms. / M/s.)  
(Name should be as per PAN)

Name of Guardian (As per PAN)   
(in case of Minor)

Relationship of Guardian  Father  Mother  Legal Guardian [Please mandatorily enclose the document evidencing the relationship of Minor with Guardian]

Guardian Date of Birth (As per PAN) (Mandatory)

PAN/PEKRN NO.  Date of Birth / Incorporation   
(Enclose PAN Card Copy) (As per PAN) (Mandatory)

Legal Entity Identifier (LEI) for Non-Individuals  Validity

KIN (CKYC Identification No.)  (Enclose KYC Acknowledgement)

Email ID

Email ID pertains to  Self(default)  Spouse  Dependent Children  Dependent Sibling  Dependent Parents  Guardian  PMS  Custodian  POA

Mobile No.  Country Code  Telephone (O)  Telephone (R)

Mobile No. pertains to  Self(default)  Spouse  Dependent Children  Dependent Sibling  Dependent Parents  Guardian  PMS  Custodian  POA

Correspondence Address of

1st Applicant

City

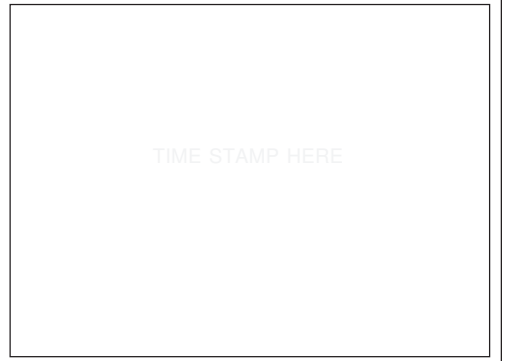
Pin  State

Address for Correspondence for NRI Applicants only ( Please (✓) Indian by Default  Foreign

Foreign Address (Mandatory for NRI / FII)

City

Zip  Country



**2. MODE OF HOLDING (Please ✓)**

Single  Joint  Anyone or Survivor

**3. JOINT APPLICANT DETAILS**

|  | Second Applicant     | Third Applicant      |
|--|----------------------|----------------------|
| Name (Name should be as per PAN) <input type="text"/>        | <input type="text"/> | <input type="text"/> |
| PAN/PEKRN (Enclose KYC Acknowledgement) <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Date of Birth (As per PAN) (Mandatory) <input type="text"/>  | <input type="text"/> | <input type="text"/> |
| KIN (CKYC Identification No.) <input type="text"/>           | <input type="text"/> | <input type="text"/> |

**4. BANK ACCOUNT (Pay Out) Details of First Applicant (Mandatory to attach bank account proof in case the payout bank account is different from the source/investment bank account)**

Name of Bank

Branch Name and Address

City

Pin

Account No.

IFS Code  (Please provide a copy of CANCELLED cheque leaf)

9 digit MICR Code

| Account Type (Please ✓)          |                              |                                 |
|----------------------------------|------------------------------|---------------------------------|
| <input type="checkbox"/> Savings | <input type="checkbox"/> NRO | <input type="checkbox"/> FCNR   |
| <input type="checkbox"/> Current | <input type="checkbox"/> NRE | <input type="checkbox"/> Others |

TEAR HERE

(To be filled in by the First applicant/Authorized Signatory) :  
Received from :

| Scheme Name    | Plan (✓)   | Option (✓)                      | IDCW Facility(✓)  | Cheque Amount (Rs.) | Bank and Branch | Cheque No. & Date | Signature, Date & Stamp |
|----------------|--|---------------------------------|---|---------------------|-----------------|-------------------|-------------------------|
| SBI QUANT FUND | <input type="checkbox"/> Regular                   | <input type="checkbox"/> Growth | <input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout |                     |                 |                   |                         |
|                | <input type="checkbox"/> Direct                    | <input type="checkbox"/> IDCW   | <input type="checkbox"/> Transfer                                     |                     |                 |                   |                         |
| Attachments    | All purchases are subject to realisation of cheque |                                 |   |                     |                 |                   |                         |

**5. FATCA & CRS INFORMATION: For Individuals / Proprietor (Mandatory). Non-Individual investors should mandatorily fill separate FATCA/CRS & UBO Form (Annexure-1).**

Is the applicant(s) Country of Birth / Nationality / Tax Residency other than "India" ?

| First Applicant (including Minor)                        | Second Applicant   | Third Applicant  |
|--|--|--|
| <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |

**If "YES", please provide the following information (mandatory):**

| Details  | First Applicant (including Minor) | Second Applicant | Third Applicant |
|--|-----------------------------------|------------------|-----------------|
| Country of Birth                                   |                                   |                  |                 |
| Place/City of Birth                                |                                   |                  |                 |
| Nationality  |                                   |                  |                 |
| Country of Tax Residency 1                         |                                   |                  |                 |
| Tax Payer Ref. ID No <sup>^</sup>                  |                                   |                  |                 |
| Identification Type [TIN or Other, Please specify] |                                   |                  |                 |
| Country of Tax Residency 2                         |                                   |                  |                 |
| Tax Payer Ref. ID No.2                             |                                   |                  |                 |
| Identification Type [TIN or Other, Please specify] |                                   |                  |                 |
| Country of Tax Residency 3                         |                                   |                  |                 |
| Tax Payer Ref. ID No. 3                            |                                   |                  |                 |
| Identification Type [TIN or Other, Please specify] |                                   |                  |                 |

<sup>^</sup> In case Tax Identification Number is not available, kindly provide its functional equivalent. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. (Please attach additional sheets if necessary and mention all countries in which applicant is a tax resident & provide relevant details)

**6. INVESTMENT AND PAYMENT DETAILS**

One time Investment  Systematic Investment Plan (SIP) (Please submit SIP Enrolment & OTM Form)  MITRA SIP (Please submit MITRA SIP Enrolment form & OTM form)

|  |   |   |  |
|--|---|---|--|
| <b>Scheme Name</b>   | <b>SBI QUANT FUND</b>   |   |  |
| <b>Plan</b> (Please ✓)   | <input type="checkbox"/> Regular <input type="checkbox"/> Direct  | In case of IDCW Transfer facility, please mention target scheme along with plan/option. |  |
| <b>Option</b> (Please ✓)   | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW <input type="checkbox"/> Frequency        | <b>Scheme / Plan / Option</b>   |  |
| <b>Income Distribution cum Capital Withdrawal (IDCW) Facility</b> (Please ✓) | <input type="checkbox"/> Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Transfer |   |  |
| Please refer to Note 28 for details of IDCW renaming                         |   |   |  |
| <b>Payment Mode</b>  | <input type="checkbox"/> Cheque <input type="checkbox"/> Fund Transfer <input type="checkbox"/> RTGS    |   |  |
| <b>Cheque No. &amp; Date</b>   | <b>Cheque Amount (Rs.)</b>  | <b>Drawn on Bank and Branch</b>   |  |
|  |   |   |  |

**7. TAX STATUS (Please ✓)**

|  |  |  |  |
|--|--|--|--|
| <input type="checkbox"/> Resident Individual               | <input type="checkbox"/> Pension and Retirement Fund | <input type="checkbox"/> Government Body | <input type="checkbox"/> NGO                     |
| <input type="checkbox"/> Resident Minor (through Guardian) | <input type="checkbox"/> Financial Institutions      | <input type="checkbox"/> Society*        | <input type="checkbox"/> LLP                     |
| <input type="checkbox"/> NRI (Repatriable)                 | <input type="checkbox"/> Public Limited Company      | <input type="checkbox"/> Trust*          | <input type="checkbox"/> PIO                     |
| <input type="checkbox"/> NRI (Non-Repatriable)             | <input type="checkbox"/> Private Limited Company     | <input type="checkbox"/> NPS Trust       | <input type="checkbox"/> NPO* [Please specify]   |
| <input type="checkbox"/> NRI- Minor (Repatriable)          | <input type="checkbox"/> Body Corporate              | <input type="checkbox"/> Fund of Fund    | <input type="checkbox"/> Others [Please specify] |
| <input type="checkbox"/> NRI- Minor (Non-Repatriable)      | <input type="checkbox"/> Partnership Firm            | <input type="checkbox"/> Gratuity Fund   |  |
| <input type="checkbox"/> Sole-Proprietor                   | <input type="checkbox"/> FII / FPI                   | <input type="checkbox"/> AOP             |  |
| <input type="checkbox"/> HUF                               | <input type="checkbox"/> Bank                        | <input type="checkbox"/> BOI             |  |

\*Non-Profit Organization [NPO] (Mandatory)  Yes  No **If yes, please quote Registration No. of Darpan portal** \_\_\_\_\_

We are falling under "Non-Profit Organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).  
If not, please register immediately and confirm with the above information to avoid non processing of applications. Failure to get above confirmation or registration with the portal as mandated, wherever applicable will force MF / AMC to register your entity name in the above portal and may report to the relevant authorities as applicable. We are aware that we may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to us or collect such fines/charges in any other manner as might be applicable.

**8. DEMAT ACCOUNT DETAILS (OPTIONAL)**

**If you wish to hold units in Demat mode, please provide below details and enclose  Latest Client Master /  Demat Account Statement**  
Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

| National Securities Depository Limited (NSDL) | Central Depository Services (India) Limited (CDSL) |
|---|--|
| Depository Participant Name _____             | Depository Participant Name _____                  |
| DP ID No. <u>  I  N  </u>                     | Beneficiary Account No. _____                      |
| Beneficiary Account No. _____                 | _____  |

Please note wherever units are allotted in Demat Mode, Statement of Account will be issued by the Depository concerned.

TEAR HERE

Any communication in connection with this application should be addressed to the Registrar or the Investment Manager

**Investment Manager :**  
SBI Funds Management Ltd.  
(A Joint Venture between SBI & AMUNDI)  
9th Floor, Crescenzo, C-38 & 39,  
G Block, Bandra Kurla Complex,  
Bandra (East), Mumbai - 400 051  
Tel: 022- 61793537  
Email: customer.delight@sbimf.com

**TOLL FREE NO : 1800 425 5425/1800 2093333**  
**ALTERNATE NON TOLL FREE NO. :**  
**+91-22-62511600 / +91-80-25512131**  
**Website : www.sbimf.com**

**Registrar:**  
Computer Age Management Services Ltd.,  
SEBI Registration No. : INR000002813)  
Rayala Towers, 158, Anna Salai, Chennai - 600 002  
Email: enq\_sbimf@camsonline.com  
Website: www.camsonline.com

**9. OTHER PERSONAL INFORMATION – (Please ✓)**

|   | First Applicant  | Second Applicant<br>(NA in case of investments from minors)  | Third Applicant<br>(NA in case of investments from minors)   |
|---|--|--|--|
| <b>Gender</b>                                 | <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other   | <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other   | <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Other   |
| <b>Father's Name</b>                          |  |  |  |
| <b>Spouse's Name</b>                          |  |  |  |
| <b>Occupation (Please ✓)</b>                  | <input type="checkbox"/> Professional <input type="checkbox"/> Business<br><input type="checkbox"/> Government Service <input type="checkbox"/> Agriculturist<br><input type="checkbox"/> Private Sector Service <input type="checkbox"/> Retired<br><input type="checkbox"/> Public Sector Service <input type="checkbox"/> Housewife<br><input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer<br><input type="checkbox"/> Doctor<br><input type="checkbox"/> Others _____ | <input type="checkbox"/> Professional <input type="checkbox"/> Business<br><input type="checkbox"/> Government Service <input type="checkbox"/> Agriculturist<br><input type="checkbox"/> Private Sector Service <input type="checkbox"/> Retired<br><input type="checkbox"/> Public Sector Service <input type="checkbox"/> Housewife<br><input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer<br><input type="checkbox"/> Doctor<br><input type="checkbox"/> Others _____ | <input type="checkbox"/> Professional <input type="checkbox"/> Business<br><input type="checkbox"/> Government Service <input type="checkbox"/> Agriculturist<br><input type="checkbox"/> Private Sector Service <input type="checkbox"/> Retired<br><input type="checkbox"/> Public Sector Service <input type="checkbox"/> Housewife<br><input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer<br><input type="checkbox"/> Doctor<br><input type="checkbox"/> Others _____ |
| <b>Gross Annual Income in Rs. (Please ✓):</b> | <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs<br><input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs<br><input type="checkbox"/> 25 Lacs - 1 Cr. <input type="checkbox"/> > 1 Cr.  | <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs<br><input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs<br><input type="checkbox"/> 25 Lacs - 1 Cr. <input type="checkbox"/> > 1 Cr.  | <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs<br><input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs<br><input type="checkbox"/> 25 Lacs - 1 Cr. <input type="checkbox"/> > 1 Cr.  |
| <b>OR Network in Rs.</b>                      |  |  |  |
| <b>Networth as of date</b>                    | <input type="text"/>   | <input type="text"/>   | <input type="text"/>   |
| <b>Politically Exposed Person [PEP]</b>       | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Related to PEP   | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Related to PEP   | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Related to PEP   |
| <b>Type of address given at KRA</b>           | <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Reg. Office  | <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Reg. Office  | <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Reg. Office  |

**10. NOMINATION : I/We wish to nominate the following person/s to receive the proceeds in the event of death. (For individual investors, Nomination is mandatory. However, in case you do not wish to nominate please sign in point 11)**

| NA in case of investment from minors  | Nominee 1                            | Nominee 2                            | Nominee 3                            |
|---|--------------------------------------|--------------------------------------|--------------------------------------|
| <b>Name of the Nominee</b>  |                                      |                                      |                                      |
| <b>PAN of the Nominee</b>   |                                      |                                      |                                      |
| <b>Name of the Guardian (In case Nominee is Minor)</b>                              |                                      |                                      |                                      |
| <b>Allocation % (Mandatory if more than one Nominee) (Should not be in decimal)</b> |                                      |                                      |                                      |
| <b>Relationship with Nominee</b>  |                                      |                                      |                                      |
| <b>Date of Birth* (Mandatory if Nominee is Minor)</b>                               | <input type="text"/>                 | <input type="text"/>                 | <input type="text"/>                 |
| <b>Signature of Nominee/Guardian</b><br>(*Mandatory in case of Minor Nominee)       |                                      |                                      |                                      |
|   | <b>Signature of Nominee/Guardian</b> | <b>Signature of Nominee/Guardian</b> | <b>Signature of Nominee/Guardian</b> |

**11. NO NOMINEE DECLARATION : I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my/ our mutual fund units held in my / our folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.**

|   |   |  |  |
|---|---|--|--|
| <b>Signature(s)</b><br>(ALL Applicants must sign) |   |  |  |
|   | <b>1<sup>st</sup> Applicant / Guardian / Authorised Signatory</b> | <b>2<sup>nd</sup> Applicant / Authorised Signatory</b> | <b>3<sup>rd</sup> Applicant / Authorised Signatory</b> |

**12. INSTITUTIONAL INVESTORS ADDITIONAL INFORMATION**

**Name of Contact Person**

Is the entity involved / providing any of the following services  Yes  No  
 Gaming / Gambling / Lottery Services (e.g. Casinos, Betting Syndicates)  Yes  No  
 For Foreign Exchange / Money Changer Services  Yes  No  
 Money Lending / Pawning  Yes  No

**NOTE: Non-Individual investors should mandatorily fill separate FATCA/CRS & UBO Form (Annexure-I) alongwith this form.**

**13. GO-GREEN INITIATIVE:**

As part of Go-Green initiative, issuance of physical copy of scheme-wise annual reports or abridged summary is limited to those investors whose email id is not available and who specifically opt to receive it in physical form. Please tick here only if you wish to receive the same in physical mode

**14. DECLARATION**

I/We confirm that the information provided in this form is true & accurate. I/We have read and understood the contents of all the scheme related documents and I/We hereby confirm and declare that (i) I/We have not received or been induced by any rebate or gifts, directly or indirectly, in making this investment; (ii) the amount invested to be invested by me/us in the scheme(s) of SBI Mutual Fund ("the Fund") is derived through legitimate sources and is not held or designed for the purpose of contravention of any act, rules, regulations or any statute or legislation or any other applicable laws or any notifications, directions issued by any governmental or statutory authority from time to time; (iii) the money invested by me in the schemes of the Fund do not attract the provisions of Foreign Contribution Regulations Act ("FCRA"); (iv) I/We am/are aware that a U.S. person (within the definition of the term "US Person" under the US Securities laws) / resident of Canada are not eligible for investments with the Fund and I/We am/are not a U.S. person/resident of Canada; (v) the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/her for the different competing schemes of various mutual funds from amongst which a scheme of the Fund is being recommended to me/us; (vi) \* as per the Memorandum and Articles of Association of the Company, Bye laws, Trust Deed or Partnership Deed and resolutions passed by the Company / Firm / Trust, I/We am/are authorised to enter into the transactions for and on behalf of the Company/Firm/Trust; (vii) \*\* I/We am/are Non Resident of Indian Nationality/Origin and that funds for the subscriptions have been remitted from abroad through approved banking channels or from my/our Non Resident External/Ordinary account/FCNR Account; (viii) all information provided in this application form together with its annexures is/are true and correct to the best of my/our knowledge and belief and I/We shall be liable in case any of the specified information is found to be false or untrue or misleading or misrepresenting; (ix) that we authorize you to disclose, share, remit in any form, mode or manner, all / any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Fund, its Sponsor, AMC, trustees, their employees/RTAs or any Indian or foreign governmental or statutory or judicial authorities/agencies including but not limited to SEBI, the Financial Intelligence Unit-India, the tax/revenue authorities in India or outside India wherever it is legally required and other such regulatory/investigation agencies or such other third party, on a need to know basis, without any obligation of advising me/us of the same; (x) I/ We shall keep you forthwith informed in writing about any changes/modification to the information provided or any other additional information as may be required by you from time to time; (xi) Towards compliance with tax information sharing laws, such as FATCA and CRS: (a) the Fund may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from investors. I/We ensure to advise you within 30 days should there be any change in any information provided; (b) In certain circumstances (including if the Fund does not receive a valid self-certification from me) the Fund may be obliged to share information on my account with relevant tax authorities; (c) I/We am aware that the Fund may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto; (d) as may be required by domestic or overseas regulators/ tax authorities, the Fund may also be constrained to withhold and pay out any sums from my/our account or close or suspend my account(s) and (e) I/We understand that I am / we are required to contact my tax advisor for any questions about my/our tax residency; (f) I have understood the information requirements of this Form (read along with the FATCA/CRS Instructions) and hereby confirm that the information provided by me/us on this Form including the taxpayer identification number is true, correct, and complete. I also confirm that I have read and understood the FATCA Terms and Conditions below and hereby accept the same. (xii) If the name given in the Application is not matching PAN, application may liable to get rejected or further transactions may be liable to get rejected. By using this application I/We agree to issue a cheque in favor of the facility "SBI Multi Select" which will be invested as per the option selected/ mentioned under clause (5) of the form. We can move the Nomination & No Nominee Declaration point after Declaration. So, that investor can give signature for application details as well as No Nominee declaration at one single place. Please explore if it is feasible.

\* Applicable to other than Individuals / HUF; \*\* Applicable to NRIs;

|   |   |  |  |
|---|---|--|--|
| <b>SIGNATURE(S)</b><br>(ALL Applicants must sign) | <input type="text"/>  | <input type="text"/>                                   | <input type="text"/>                                   |
|   | <b>1<sup>st</sup> Applicant / Guardian / Authorised Signatory</b> | <b>2<sup>nd</sup> Applicant / Authorised Signatory</b> | <b>3<sup>rd</sup> Applicant / Authorised Signatory</b> |
| <b>Date</b>                                       | <input type="text"/>  | <input type="text"/>                                   | <input type="text"/>                                   |
|   | <b>Place</b>  | <input type="text"/>                                   | <input type="text"/>                                   |

**This page has been left blank intentionally**

\*Investors should consult their financial advisers if in doubt about whether the product is suitable

**SIP ENROLMENT CUM ONE TIME DEBIT MANDATE FORM**

New investors subscribing to the scheme through SIP must submit this Form alongwith Common Application Form

| ARN & Name of Distributor | Branch Code (only for SBG) | Sub-Broker ARN Code | Sub-Broker Code | EUIN* (Employee Unique Identification Number) | Reference No. |
|---------------------------|----------------------------|---------------------|-----------------|---|---------------|
|                           |                            |                     |                 |   |               |

Declaration for "execution-only" transaction (only where EUIN box is left blank) : I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

|              |   |  |  |
|--------------|---|--|--|
| SIGNATURE(S) |   |  |  |
|              | 1 <sup>st</sup> Applicant / Guardian / Authorised Signatory | 2 <sup>nd</sup> Applicant / Authorised Signatory | 3 <sup>rd</sup> Applicant / Authorised Signatory |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

**INVESTOR DETAILS**

|  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|
| Folio No./Application No.                                  |  |  |  |  |  |  |
| Name of 1 <sup>st</sup> Applicant                          |  |  |  |  |  |  |
| SIP Cheque No/s :  |  |  |  |  |  |  |
| Scheme Name  | 1  |  | 2  |  | 3  |  |
| Plan   | <input type="checkbox"/> Regular <input type="checkbox"/> Direct   | <input type="checkbox"/> Regular <input type="checkbox"/> Direct   | <input type="checkbox"/> Regular <input type="checkbox"/> Direct   | <input type="checkbox"/> Regular <input type="checkbox"/> Direct   | <input type="checkbox"/> Regular <input type="checkbox"/> Direct   | <input type="checkbox"/> Regular <input type="checkbox"/> Direct   |
| Option   | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW <u>Frequency</u>   | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW <u>Frequency</u>   | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW <u>Frequency</u>   | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW <u>Frequency</u>   | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW <u>Frequency</u>   | <input type="checkbox"/> Growth <input type="checkbox"/> IDCW <u>Frequency</u>   |
| Income Distribution cum Capital Withdrawal (IDCW) Facility | <input type="checkbox"/> Reinvest <input type="checkbox"/> Payout  | <input type="checkbox"/> Reinvest <input type="checkbox"/> Payout  | <input type="checkbox"/> Reinvest <input type="checkbox"/> Payout  | <input type="checkbox"/> Reinvest <input type="checkbox"/> Payout  | <input type="checkbox"/> Reinvest <input type="checkbox"/> Payout  | <input type="checkbox"/> Reinvest <input type="checkbox"/> Payout  |
| Each SIP Instalment Amount (₹)                             |  |  |  |  |  |  |
| SIP Frequency  | <input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly<br><input type="checkbox"/> Daily <input type="checkbox"/> Weekly<br><input type="checkbox"/> Half - Yearly <input type="checkbox"/> Annual  | <input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly<br><input type="checkbox"/> Daily <input type="checkbox"/> Weekly<br><input type="checkbox"/> Half - Yearly <input type="checkbox"/> Annual  | <input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly<br><input type="checkbox"/> Daily <input type="checkbox"/> Weekly<br><input type="checkbox"/> Half - Yearly <input type="checkbox"/> Annual  | <input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly<br><input type="checkbox"/> Daily <input type="checkbox"/> Weekly<br><input type="checkbox"/> Half - Yearly <input type="checkbox"/> Annual  | <input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly<br><input type="checkbox"/> Daily <input type="checkbox"/> Weekly<br><input type="checkbox"/> Half - Yearly <input type="checkbox"/> Annual  | <input type="checkbox"/> Monthly (Default) <input type="checkbox"/> Quarterly<br><input type="checkbox"/> Daily <input type="checkbox"/> Weekly<br><input type="checkbox"/> Half - Yearly <input type="checkbox"/> Annual  |
| SIP Date (for Monthly, Quarterly, Half-Yearly & Annual)    | <input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 15 <sup>th</sup> <input type="checkbox"/> 30 <sup>th</sup> (For February, last business day)<br><input type="checkbox"/> 5 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup><br><input type="checkbox"/> 10 <sup>th</sup> (Default) <input type="checkbox"/> 25 <sup>th</sup> (Any other date from 1 <sup>st</sup> to 30 <sup>th</sup> ) | <input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 15 <sup>th</sup> <input type="checkbox"/> 30 <sup>th</sup> (For February, last business day)<br><input type="checkbox"/> 5 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup><br><input type="checkbox"/> 10 <sup>th</sup> (Default) <input type="checkbox"/> 25 <sup>th</sup> (Any other date from 1 <sup>st</sup> to 30 <sup>th</sup> ) | <input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 15 <sup>th</sup> <input type="checkbox"/> 30 <sup>th</sup> (For February, last business day)<br><input type="checkbox"/> 5 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup><br><input type="checkbox"/> 10 <sup>th</sup> (Default) <input type="checkbox"/> 25 <sup>th</sup> (Any other date from 1 <sup>st</sup> to 30 <sup>th</sup> ) | <input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 15 <sup>th</sup> <input type="checkbox"/> 30 <sup>th</sup> (For February, last business day)<br><input type="checkbox"/> 5 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup><br><input type="checkbox"/> 10 <sup>th</sup> (Default) <input type="checkbox"/> 25 <sup>th</sup> (Any other date from 1 <sup>st</sup> to 30 <sup>th</sup> ) | <input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 15 <sup>th</sup> <input type="checkbox"/> 30 <sup>th</sup> (For February, last business day)<br><input type="checkbox"/> 5 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup><br><input type="checkbox"/> 10 <sup>th</sup> (Default) <input type="checkbox"/> 25 <sup>th</sup> (Any other date from 1 <sup>st</sup> to 30 <sup>th</sup> ) | <input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 15 <sup>th</sup> <input type="checkbox"/> 30 <sup>th</sup> (For February, last business day)<br><input type="checkbox"/> 5 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup><br><input type="checkbox"/> 10 <sup>th</sup> (Default) <input type="checkbox"/> 25 <sup>th</sup> (Any other date from 1 <sup>st</sup> to 30 <sup>th</sup> ) |
| (for Weekly Fixed Date or Day)                             | <input type="checkbox"/> Fixed dates (1,8,15,22) OR<br><input type="checkbox"/> Any Day (Default) (Monday to Friday)   | <input type="checkbox"/> Fixed dates (1,8,15,22) OR<br><input type="checkbox"/> Any Day (Default) (Monday to Friday)   | <input type="checkbox"/> Fixed dates (1,8,15,22) OR<br><input type="checkbox"/> Any Day (Default) (Monday to Friday)   | <input type="checkbox"/> Fixed dates (1,8,15,22) OR<br><input type="checkbox"/> Any Day (Default) (Monday to Friday)   | <input type="checkbox"/> Fixed dates (1,8,15,22) OR<br><input type="checkbox"/> Any Day (Default) (Monday to Friday)   | <input type="checkbox"/> Fixed dates (1,8,15,22) OR<br><input type="checkbox"/> Any Day (Default) (Monday to Friday)   |
| SIP Period   | From <u>  </u> To <u>  </u><br>OR <input type="checkbox"/> 3 yrs <input type="checkbox"/> 5 yrs <input type="checkbox"/> 10 yrs<br><input type="checkbox"/> 15 yrs <input type="checkbox"/> 20 yrs <input type="checkbox"/> 40 yrs (Select any one)  | From <u>  </u> To <u>  </u><br>OR <input type="checkbox"/> 3 yrs <input type="checkbox"/> 5 yrs <input type="checkbox"/> 10 yrs<br><input type="checkbox"/> 15 yrs <input type="checkbox"/> 20 yrs <input type="checkbox"/> 40 yrs (Select any one)  | From <u>  </u> To <u>  </u><br>OR <input type="checkbox"/> 3 yrs <input type="checkbox"/> 5 yrs <input type="checkbox"/> 10 yrs<br><input type="checkbox"/> 15 yrs <input type="checkbox"/> 20 yrs <input type="checkbox"/> 40 yrs (Select any one)  | From <u>  </u> To <u>  </u><br>OR <input type="checkbox"/> 3 yrs <input type="checkbox"/> 5 yrs <input type="checkbox"/> 10 yrs<br><input type="checkbox"/> 15 yrs <input type="checkbox"/> 20 yrs <input type="checkbox"/> 40 yrs (Select any one)  | From <u>  </u> To <u>  </u><br>OR <input type="checkbox"/> 3 yrs <input type="checkbox"/> 5 yrs <input type="checkbox"/> 10 yrs<br><input type="checkbox"/> 15 yrs <input type="checkbox"/> 20 yrs <input type="checkbox"/> 40 yrs (Select any one)  | From <u>  </u> To <u>  </u><br>OR <input type="checkbox"/> 3 yrs <input type="checkbox"/> 5 yrs <input type="checkbox"/> 10 yrs<br><input type="checkbox"/> 15 yrs <input type="checkbox"/> 20 yrs <input type="checkbox"/> 40 yrs (Select any one)  |

Use Existing One Time Debit Mandate (if already registered in the Folio)  
 Bank Name    Bank A/c No   

**TOP-UP SIP (Select anyone % or Amount)**

|   | 1  | 2  | 3  |
|---|--|--|--|
| Top-Up Percentage (in multiples of 5% only)<br>OR<br>Top-Up Amount Rs. (in multiples of Rs. 500 only) | <input type="checkbox"/> 5% <input type="checkbox"/> 10% OR <input type="checkbox"/> Other <u>  </u><br>OR<br>Amount Rs. <u>  </u> | <input type="checkbox"/> 5% <input type="checkbox"/> 10% OR <input type="checkbox"/> Other <u>  </u><br>OR<br>Amount Rs. <u>  </u> | <input type="checkbox"/> 5% <input type="checkbox"/> 10% OR <input type="checkbox"/> Other <u>  </u><br>OR<br>Amount Rs. <u>  </u> |
| Top-Up Frequency  | <input type="checkbox"/> Half - Yearly <input type="checkbox"/> Annual   | <input type="checkbox"/> Half - Yearly <input type="checkbox"/> Annual   | <input type="checkbox"/> Half - Yearly <input type="checkbox"/> Annual   |

**TOP-UP SIP CAP (Investor has to choose only one option)**

|  |   |   |   |
|--|---|---|---|
| Top-Up SIP CAP Amount ₹ (maximum SIP installment including Top-Up amount)<br>OR<br>Top-Up SIP CAP Month-Year | <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> | <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> | <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> <u>  </u> |
|--|---|---|---|

**DECLARATION :** I/We hereby declare that the particulars given in this mandate form are correct and express my/our willingness to make payments towards investment in the schemes of SBI Mutual Fund. I/We hereby confirm and declare that the monies invested by me in the schemes of SBI Mutual Fund do not attract the provisions of Foreign Contribution Regulations Act ("FCRA"). I/We are aware that SBI Mutual Fund and its service providers and bank are authorized to process transactions by debiting my/our bank account through Direct Debit / NACH facility. If the transaction is delayed or not effected for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform SBI Mutual Fund/RTA about any changes in my/our bank account. I/We confirm that the aggregate of the lump sum investment (fresh purchase & additional purchase) and SIP installments in rolling 12 months period or financial year i.e. April to March does not exceed Rs. 50,000/- (Rupees Fifty Thousand) (applicable for "Micro investments" only). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read, understood and agreed to the terms and conditions and contents of the SID, SAI, KIM and Addendum issued from time to time of the respective Scheme(s) of SBI Mutual Fund. I/We hereby authorize the bank to honour such payments for which I/We have signed and endorsed the Mandate Form.

**ONE TIME DEBIT MANDATE FORM (OTM)**

**SBI MUTUAL FUND** A PARTNER FOR LIFE

UMRN    Date                                 

Sponsor Bank Code    Utility Code   

CREATE  I/We, hereby authorize **SBI Mutual Fund** To debit (Please  ) SB / CA / CC / SB-NRE / SB-NRO / Other

MODIFY

CANCEL

Bank A/c No.   

with Bank    Bank Name    IFSC    OR MICR   

an amount of Rupees    ₹

FREQUENCY:  Weekly  Monthly  Quarterly  As & when presented DEBIT TYPE :  Fixed Amount  Maximum Amount

Folio No.:    Mobile No.:   

Appln No. :    Email ID:   

I Agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

**PERIOD**

From                                    

To                                    

Signature of 1<sup>st</sup> Bank Account Holder    Signature of 2<sup>nd</sup> Bank Account Holder    Signature of 3<sup>rd</sup> Bank Account Holder   

Name as in Bank records    Name as in Bank records    Name as in Bank records   

This is to confirm that the declaration has been carefully read, understood & made by me/us. I/We are authorizing the User entity/Corporate to debit my account, based on the instruction as agreed and signed by me/us. I/We have understood that I/ we are authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the User entity /Corporate or the bank where I/We have authorized the debit.



## INSTRUCTIONS TO FILL ONE TIME DEBIT MANDATE (OTM)

- Investors who have already submitted One Time Debit Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account in the Folio. However, if such investors wish to add a new bank account towards OTM facility may submit the new OTM form.
- Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned (as per bank records).
- Along with OTM, investors should enclose an original CANCELLED cheque (or a copy) with name and account number pre-printed of the bank account to be registered failing which registration may not be accepted.
- First applicant / unitholder must be one of the account holder in the bank account. Investor's cheque / bank account details are subject to third party validation.
- Investors are deemed to have read and understood the terms and conditions of Systematic Investment Plan mentioned in SID, SAI & KIM of the respective Scheme(s) of SBI Mutual Fund.
- UMRN, Sponsor Bank Code and Utility Code are meant for Office use only and need not be filled by investors.
- Please mention OTM date and OTM "From date" in DDMMYYYY format.
- For the convenience of the investors the frequency of the mandate mentioned as "As and When Presented".
- From date & to date is mandatory. However, the maximum duration for enrollment is 40 years.
- Please provide all the information / details in the OTM.

### Mandatory information to be provided in One Time Debit Mandate (OTM):

- Date of Mandate
- Bank A/c Type
- Bank A/c No. (please enclose CANCELLED cheque leaf)
- Bank Name
- IFSC and/or MICR Code
- Maximum Amount (Rupees and Words)
- Mandate From date
- Mandate To date
- Signature/s of account holders in bank records
- Name/s of account holders as in bank records

### Instructions for Top-Up SIP

- Investors can either opt for fixed amount SIP Top-up or percentage SIP Top-Up option. In case investors selects both the options, percentage SIP Top-Up would be made applicable. In case the investor selects multiple % SIP Top-up options under percentage-based SIP Top-Up option, the lower percentage would be considered.
- The minimum SIP Top-up amount under fixed amount SIP Top-up is Rs. 500 and in multiples of Rs. 500. The minimum Top-up percentage would be 5% of the SIP amount and in multiples of 5% thereof.
- If the Top-up % is not in multiples of 5, it will be rounded down to nearest multiple of 5. The Top-up amount would be rounded off to the nearest Rs. 10.
- Percentage SIP Top-up would be computed on the immediately preceding SIP instalment value as on the SIP Top-Up trigger date.
- The Top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enrol for a fresh SIP with Top-up option.
- In case of Monthly SIP, Half-yearly as well as Yearly frequency are available for Top-up. If the investor does not specify the frequency, the default frequency for Top-up will be considered as Half-yearly.
- In case of Quarterly SIP, only the Yearly frequency is available for Top-up.
- Top up facility will not be applicable for SIP frequencies other than Monthly & Quarterly. SIP Top-up facility will be allowed in all schemes in which SIP facility is being offered.
- All other terms & conditions applicable for regular SIP will also be applicable to Top-up SIP.
- The AMC/Trustee reserves the right to terminate or modify the conditions of the Facility at its discretion.

### Instructions for Top-up SIP Cap

Under this option, post selecting SIP Top-up option, the investor can define the maximum SIP Top-up Cap, beyond which the SIP instalment will not increase in future. The investor shall have the flexibility to choose either Top-up SIP Cap amount or Top-up SIP Cap Month-Year. In case of multiple selection, Top-up SIP Cap amount will be considered as default selection.

Terms and conditions of Top-up SIP Cap facility are as follows:

- Top-up SIP Cap Amount: Investor has an option to fix the Top-up SIP amount i.e. maximum SIP instalment including Top-up amount. The pre-defined amount should be equal to or lesser than the maximum amount mentioned by the investor in One Time Mandate Form (OTM). The instalment amount after Top-up shall not exceed the amount mentioned in OTM at any given time.
- In case of difference between the Top-up SIP Cap amount & OTM Debit Mandate, then amount which is lower of the two shall be considered as the Top-up SIP Cap amount.
- If SIP amount (including SIP Top-up amount) reaches the Top-up Cap before the end of SIP tenure, the SIP Top up will cease and SIP instalment amount will remain constant for remaining SIP Tenure.
- Top-up SIP Cap Month-Year: It is the month from which SIP Top-up amount will cease and last SIP instalment including Top-up amount will remain constant till the end of SIP tenure.

- If none of the above options is selected by the investor, the SIP Top-up will continue as per the SIP end date subject to the maximum amount mentioned in OTM form.
- The AMC/Trustee reserves the right to terminate or modify the conditions of the Facility at its discretion.

Illustration for Top us SIP

#### Fixed amount Top-up SIP:

|                  |                            |
|------------------|----------------------------|
| SIP Tenure       | 01-Mar- 2017 to 1-Mar-2022 |
| SIP Amount (Rs)  | 5000                       |
| SIP Frequency    | Monthly                    |
| Top-up Amount    | 1000                       |
| Top-up Frequency | Yearly                     |

| SIP From Date | SIP To Date | SIP Amount (Rs) | SIP Top-up Amount (Rs) | SIP Amount post Top-up (Rs) |
|---------------|-------------|-----------------|------------------------|-----------------------------|
| 01-Mar-17     | 01-Feb-18   | 5000            | N.A.                   | 5000                        |
| 01-Mar-18     | 01-Feb-19   | 5000            | 1000                   | 6000                        |
| 01-Mar-19     | 01-Feb-20   | 6000            | 1000                   | 7000                        |
| 01-Mar-20     | 01-Feb-21   | 7000            | 1000                   | 8000                        |
| 01-Mar-21     | 01-Feb-22   | 8000            | 1000                   | 9000                        |

In the above scenario, if the investor specifies an SIP Top-up cap amount of Rs. 7000. The calculation would be as shown below:

| SIP From Date: | SIP To Date | SIP Amount (Rs) | SIP Top-up Amount (Rs)* | SIP Amount post Top-up (Rs) |
|----------------|-------------|-----------------|-------------------------|-----------------------------|
| 01-Mar-17      | 01-Feb-18   | 5000            | N.A.                    | 5000                        |
| 01-Mar-18      | 01-Feb-19   | 5000            | 1000                    | 6000                        |
| 01-Mar-19      | 01-Feb-20   | 6000            | 1000                    | 7000                        |
| 01-Mar-20      | 01-Feb-21   | 7000            | N.A                     | 7000                        |
| 01-Mar-21      | 01-Feb-22   | 7000            | N.A                     | 7000                        |

In the above scenario, if the investor specifies SIP Top-up Cap month and Year as Dec 2019. The calculation would be as show below:

| SIP From Date | SIP To Date | SIP Amount (Rs) | SIP Top Amount (Rs)* | SIP Amount post Top-up (Rs) |
|---------------|-------------|-----------------|----------------------|-----------------------------|
| 01-Mar-17     | 01-Feb-18   | 5000            | N.A.                 | 5000                        |
| 01-Mar-18     | 01-Feb-19   | 5000            | 1000                 | 6000                        |
| 01-Mar-19     | 01-Feb-20   | 6000            | 1000                 | 7000                        |
| 01-Mar-20     | 01-Feb-21   | 7000            | N.A.                 | 7000                        |
| 01-Mar-21     | 01-Feb-22   | 7000            | N.A.                 | 7000                        |

Percentage Top-up SIP:

|                   |                            |
|-------------------|----------------------------|
| SIP Tenure        | 01-Mar- 2017 to 1-Mar-2022 |
| SIP Amount (Rs)   | 5000                       |
| SIP Frequency     | Monthly                    |
| Top-up Percentage | 10%                        |
| Top-up Frequency  | Yearly                     |

| SIP From Date | SIP To Date | SIP Amount (Rs) | SIP Top-up Amount (Rs)* | SIP Amount post Top-up (Rs) |
|---------------|-------------|-----------------|-------------------------|-----------------------------|
| 01-Mar-17     | 01-Feb-18   | 5000            | N.A.                    | 5000                        |
| 01-Mar-18     | 01-Feb-19   | 5000            | 500                     | 5500                        |
| 01-Mar-19     | 01-Feb-20   | 5500            | 550                     | 6050                        |
| 01-Mar-20     | 01-Feb-21   | 6050            | 610                     | 6660                        |
| 01-Mar-21     | 01-Feb-22   | 6660            | 670                     | 7330                        |

\* SIP Top-up amount is rounded off to nearest Rs. 10

In the above scenario, if the investor specifies an SIP Top-up cap amount of Rs. 6500. The calculation would be as shown below:

| SIP From Date: | SIP To Date | SIP Amount (Rs) | SIP Top-up Amount (Rs)* | SIP Amount post Top-up (Rs) |
|----------------|-------------|-----------------|-------------------------|-----------------------------|
| 01-Mar-17      | 01-Feb-18   | 5000            | N.A.                    | 5000                        |
| 01-Mar-18      | 01-Feb-19   | 5000            | 500                     | 5500                        |
| 01-Mar-19      | 01-Feb-20   | 5500            | 550                     | 6050                        |
| 01-Mar-20      | 01-Feb-21   | 6050            | 610                     | 6500                        |
| 01-Mar-21      | 01-Feb-22   | 6500            | N.A                     | 6500                        |

\* SIP Top-up amount is rounded off to nearest Rs. 10

In the above scenario, if the investor specifies SIP Top-up Cap month and Year as Dec 2019. The calculation would be as show below:

| SIP From Date | SIP To Date | SIP Amount (Rs) | SIP Top Amount (Rs)* | SIP Amount post Top-up (Rs) |
|---------------|-------------|-----------------|----------------------|-----------------------------|
| 01-Mar-17     | 01-Feb-18   | 5000            | N.A.                 | 5000                        |
| 01-Mar-18     | 01-Feb-19   | 5000            | 500                  | 5500                        |
| 01-Mar-19     | 01-Feb-20   | 5500            | 550                  | 6050                        |
| 01-Mar-20     | 01-Feb-21   | 6050            | N.A                  | 6050                        |
| 01-Mar-21     | 01-Feb-22   | 6050            | N.A                  | 6050                        |

\* SIP Top-up amount is rounded off to nearest Rs. 10

**Product Labeling**  
This product is suitable for investors who are seeking\*:  
 • Long term Capital appreciation  
 • Investment in equity and equity related instruments selected based on Quant model



\*Investors should consult their financial advisers if in doubt about whether the product is suitable

**MITRA SIP ENROLMENT CUM ONE TIME DEBIT MANDATE FORM**  
(New investors subscribing to this option must submit this Form alongwith Common Application Form)

| ARN & Name of Distributor | Branch Code (only for SBG) | Sub-Broker ARN Code | Sub-Broker Code | EUIN* (Employee Unique Identification Number) | Reference No. |
|---------------------------|----------------------------|---------------------|-----------------|---|---------------|
|                           |                            |                     |                 |   |               |

Declaration for "execution-only" transaction (only where EUIN box is left blank) : I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

|              |   |  |  |
|--------------|---|--|--|
| SIGNATURE(S) |   |  |  |
|              | 1 <sup>st</sup> Applicant / Guardian / Authorised Signatory | 2 <sup>nd</sup> Applicant / Authorised Signatory | 3 <sup>rd</sup> Applicant / Authorised Signatory |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

**INVESTOR DETAILS**

|   |  |                                   |                                   |  |                                   |                                   |                                   |
|---|--|-----------------------------------|-----------------------------------|--|-----------------------------------|-----------------------------------|-----------------------------------|
| Folio No./Application No.                                 |  |                                   |                                   |  |                                   |                                   |                                   |
| Name of 1 <sup>st</sup> Applicant                         |  |                                   |                                   |  |                                   |                                   |                                   |
| SIP Cheque No/s   |  |                                   |                                   |  |                                   |                                   |                                   |
| Scheme Name   | SBI _____ Plan: _____ Option: Growth (Default) |                                   |                                   | (List of eligible schemes are given in terms and conditions, refer point no. 3)  |                                   |                                   |                                   |
| SIP Amount (Rs.)  |  |                                   |                                   |  |                                   | SIP Frequency : Monthly (Default) |                                   |
| SIP Start Date  |  |                                   |                                   |  |                                   |                                   |                                   |
| SIP Tenure  | <input type="checkbox"/> 8 Years               | <input type="checkbox"/> 10 Years | <input type="checkbox"/> 12 Years | <input type="checkbox"/> 15 Years  | <input type="checkbox"/> 20 Years | <input type="checkbox"/> 25 Years | <input type="checkbox"/> 30 Years |
| Switch-In/SWP Scheme Name (Post completion of SIP Tenure) | SBI _____ Plan: _____ Option: Growth (Default) |                                   |                                   | (List of eligible schemes are given in terms and conditions, refer point no. 3 or mention the same scheme as SIP scheme) |                                   |                                   |                                   |
| SWP Date: Same as SIP Date                                | SWP Frequency : Monthly (Default)              |                                   |                                   |  |                                   |                                   |                                   |

SWP Amount (tick any one of the option below from A Or B)

A. As per below matrix basis SIP tenure

| SIP Tenure | 8 Years                   | 10 Years                    | 12 Years                  | 15 Years                  | 20 years                  | 25 years                  | 30 Years                   |
|------------|---------------------------|-----------------------------|---------------------------|---------------------------|---------------------------|---------------------------|----------------------------|
| SWP Amount | 1 x SIP Instalment Amount | 1.5 x SIP Instalment Amount | 2 x SIP Instalment Amount | 3 x SIP Instalment Amount | 5 x SIP Instalment Amount | 8 x SIP Instalment Amount | 12 x SIP Instalment Amount |

OR

B. Any other Amount (Rs.) \_\_\_\_\_ (This amount should be less than or equal to SWP amount mentioned as per the matrix above)

Use Existing One Time Debit Mandate (if already registered in the Folio)

Bank Name \_\_\_\_\_ Bank A/c No \_\_\_\_\_

**TOP-UP SIP**

Top-Up Percentage (in multiples of 5% only)  5%  10% OR  Other \_\_\_\_\_

OR

Top-Up Amount Rs. (in multiples of Rs. 500 only) Amount Rs. \_\_\_\_\_

Top-Up Frequency  Half - Yearly  Annual

**TOP-UP SIP CAP (Investor has to choose only one option)**

Top-Up SIP CAP Amount ₹ (maximum SIP installment including Top-Up amount) \_\_\_\_\_ OR

Top-Up SIP CAP Month-Year \_\_\_\_\_

**DECLARATION :** I/We hereby declare that the particulars given in this mandate form are correct and express my/our willingness to make payments towards investment in the schemes of SBI Mutual Fund. I/We hereby confirm and declare that the monies invested by me in the schemes of SBI Mutual Fund do not attract the provisions of Foreign Contribution Regulations Act ("FCRA"). I/We are aware that SBI Mutual Fund and its service providers and bank are authorized to process transactions by debiting my/our bank account through Direct Debit / NACH facility. If the transaction is delayed or not effected for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform SBI Mutual Fund/RTA about any changes in my/our bank account. I/We confirm that the aggregate of the lump sum investment (fresh purchase & additional purchase) and SIP installments in rolling 12 months period or financial year i.e. April to March does not exceed Rs. 50,000/- (Rupees Fifty Thousand) (applicable for "Micro investments" only). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read, understood and agreed to the terms and conditions and contents of the SID, SAI, KIM and Addendum issued from time to time of the respective Scheme(s) of SBI Mutual Fund. I/We hereby authorize the bank to honour such payments for which I/We have signed and endorsed the Mandate Form.

**ONE TIME DEBIT MANDATE FORM (OTM)**

**SBI MUTUAL FUND** A PARTNER FOR LIFE

UMRN \_\_\_\_\_ Date \_\_\_\_\_

Sponsor Bank Code \_\_\_\_\_ Utility Code \_\_\_\_\_

CREATE  I/We, hereby authorize **SBI Mutual Fund** To debit (Please ) **SB / CA / CC / SB-NRE / SB-NRO / Other**

MODIFY  Bank A/c No. \_\_\_\_\_

CANCEL  with Bank \_\_\_\_\_ Bank Name \_\_\_\_\_ IFSC \_\_\_\_\_ OR MICR \_\_\_\_\_

an amount of Rupees \_\_\_\_\_ ₹

FREQUENCY:  Weekly  Monthly  Quarterly  As & when presented DEBIT TYPE :  Fixed Amount  Maximum Amount

Folio No.: \_\_\_\_\_ Moblie No.: \_\_\_\_\_

Appln No. : \_\_\_\_\_ Email ID: \_\_\_\_\_

I Agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

**PERIOD**

From \_\_\_\_\_ To \_\_\_\_\_

Signature of 1<sup>st</sup> Bank Account Holder \_\_\_\_\_ Signature of 2<sup>nd</sup> Bank Account Holder \_\_\_\_\_ Signature of 3<sup>rd</sup> Bank Account Holder \_\_\_\_\_

Name as in Bank records      Name as in Bank records      Name as in Bank records

This is to confirm that the declaration has been carefully read, understood & made by me/us. I/We are authorizing the User entity/Corporate to debit my account, based on the instruction as agreed me/us and signed by me. I/We have understood that I/We are authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the User entity/Corporate or the bank where I/We have authorized the debit.

## TERMS AND CONDITIONS FOR MITRA SIP AND OTM

### What is MITRA SIP?

MITRA SIP is a facility that allows investor to make initial investment through SIP and after completion of specific tenure, Switch the units to another scheme or continue to remain in the same scheme as per the option selected by the investor and Systematic Withdrawal (SWP) from the target scheme post switch.

#### TERMS AND CONDITIONS FOR MITRA SIP

- MITRA SIP facility is available under select schemes of SBI Mutual Fund (mentioned below) for a fixed SIP tenure of either 8 years, 10 years, 12 years, 15 years, 20 years, 25 years or 30 years.
- This facility is allowed under 'Monthly' frequency for Growth option of the eligible SIP / SWP schemes.
- Schemes eligible for SIP, Switch-in and SWP:** The target scheme can either be the source scheme (i.e. SIP scheme) or any one of the pre-defined schemes mentioned below.

| Name of Schemes (for SIP)              | Name of Schemes (for Switch and SWP) |
|--|--------------------------------------|
| SBI Magnum Equity ESG Fund             | SBI Conservative Hybrid Fund         |
| SBI Large & Midcap Fund                | SBI Multi Asset Allocation Fund      |
| SBI Magnum Global Fund                 | SBI BlueChip Fund                    |
| SBI Equity Hybrid Fund                 | SBI Arbitrage Opportunities Fund     |
| SBI Consumption Opportunities Fund     | SBI Short Term Debt Fund             |
| SBI Technology Opportunities Fund      | SBI Banking & PSU Fund               |
| SBI Healthcare Opportunities Fund      | SBI Equity Savings Fund              |
| SBI Contra Fund                        | SBI Balanced Advantage Fund          |
| SBI Nifty Index Fund                   | SBI Equity Hybrid Fund               |
| SBI Focused Equity Fund                |                                      |
| SBI Conservative Hybrid Fund           |                                      |
| SBI Magnum MidCap Fund                 |                                      |
| SBI Magnum COMMA Fund                  |                                      |
| SBI Flexicap Fund                      |                                      |
| SBI Multi Asset Allocation Fund        |                                      |
| SBI BlueChip Fund                      |                                      |
| SBI Infrastructure Fund                |                                      |
| SBI PSU Fund                           |                                      |
| SBI Small Cap Fund                     |                                      |
| SBI Banking & Financial Services Fund  |                                      |
| SBI Equity Minimum Variance Fund       |                                      |
| SBI International Access-US Equity FoF |                                      |
| SBI Nifty Next 50 Index Fund           |                                      |
| SBI Balanced Advantage Fund            |                                      |
| SBI Multicap Fund                      |                                      |
| SBI Nifty Midcap 150 Index Fund        |                                      |
| SBI Nifty Smallcap 250 Index Fund      |                                      |
| SBI Dividend Yield Fund                |                                      |
| SBI S&P BSE Sensex Index Fund          |                                      |
| SBI Nifty50 Equal Weight Index Fund    |                                      |
| SBI Energy Opportunities Fund          |                                      |
| SBI Automotive Opportunities Fund      |                                      |
| SBI Innovative Opportunities Fund      |                                      |
| SBI Nifty 500 Index Fund               |                                      |
| SBI Nifty India Consumption Index Fund |                                      |
| SBI Quant Fund                         |                                      |

- Minimum installment amount under this facility for SIP / SWP would be the same as prescribed under Monthly frequencies in the respective schemes. All other terms and conditions pertaining to SIP and SWP shall be applicable for MITRA SIP / SWP.
- On completion of the SIP period, the entire accumulated clear unit balance shall be switched on T+15 calendar days to a pre-defined target scheme (T is the last SIP transaction date of the facility) or continue to remain in the same scheme as per option selected by the investor. In case the source and target scheme is different, then switch out from the source scheme would be subject to applicable exit load and taxes if any.
- SWP shall commence from the target scheme from the next month onwards on the same SIP instalment date. The SWP transaction shall be subject to applicable exit load and taxes if any.
- Investor can opt for SWP instalment amount as per the matrix below or specific amount to be mentioned, provided that the amount mentioned by the investor is less than or equal to amount mentioned as per the matrix and shall be subject to minimum SWP amount of the respective schemes.

| SIP Tenure | Monthly SWP Instalment       |
|------------|------------------------------|
| 8 years    | 1 x monthly SIP instalment   |
| 10 years   | 1.5 x monthly SIP instalment |
| 12 years   | 2 x monthly SIP instalment   |
| 15 years   | 3 x monthly SIP instalment   |
| 20 years   | 5 x monthly SIP instalment   |
| 25 years   | 8 x monthly SIP instalment   |
| 30 Years   | 12 x monthly SIP instalment  |

For example, for a 10 years SIP with instalment amount of Rs 10,000, SWP amount must be less than or equal to Rs 15,000 (i.e 1.5 times of monthly SIP instalment). If SWP amount mentioned on application form is greater than the applicable slab, then it shall lead to rejection of the application. In case investor does not fill in any SWP amount, the default amount shall be as per the applicable slab given above.

- In case no SIP tenure is selected, the default tenure shall be 12 years. In case no SIP date is selected, the default date shall be 10.
- In case, no scheme is mentioned in the target scheme section in Switch-In/ SWP, the SWP shall be triggered from existing source SIP scheme itself.
- SWP Date will be same as the SIP date. The Start date of SWP will be the month following the last SIP instalment date and the SWP End Date will be perpetual i.e. the SWP under this facility shall be processed till units are available in the respective target scheme. In case, the SWP trigger date is a non- business day, the next business day shall be considered as trigger date.

- This facility shall get discontinued in the following events:
  - On cancellation of SIP before the end of tenure, the switch trigger and SWP will cease.
  - In case, redemption / switch-out processed in Source Scheme during the SIP tenure, the Switch trigger and SWP will cease, however SIP shall continue under the source scheme as normal SIP.
  - In case redemption / switch-out is processed in Source Scheme after the SIP tenure till the execution of switch trigger, the switch trigger and the SWP will cease.
- SIP Top-Up and SIP Pause is allowed under this facility. However, SWP would get registered based on the initial SIP instalment amount / slab mentioned in the application form.
- Under a single folio, an investor can have multiple registrations under this facility. However, if investor wishes to invest in multiple schemes, investor shall have to submit separate MITRA SIP registration forms.
- This facility will not be available under DEMAT mode and for Minor investors.

#### INSTRUCTIONS TO FILL ONE TIME DEBIT MANDATE (OTM)

- Investors who have already submitted One Time Debit Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account in the Folio. However, if such investors wish to add a new bank account towards OTM facility may submit the new OTM form.
- Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned (as per bank records).
- Along with OTM, investors should enclose an original CANCELLED cheque (or a copy) with name and account number pre-printed of the bank account to be registered failing which registration may not be accepted.
- First applicant / unitholder must be one of the account holder in the bank account. Investor's cheque / bank account details are subject to third party validation.
- Investors are deemed to have read and understood the terms and conditions of Systematic Investment Plan mentioned in SID, SAI & KIM of the respective Scheme(s) of SBI Mutual Fund.
- UMRN, Sponsor Bank Code and Utility Code are meant for Office use only and need not be filled by investors.
- Please mention OTM date and OTM "From date" in DDMMYYYY format.
- For the convenience of the investors the frequency of the mandate mentioned as "As and When Presented".
- From date & To date is mandatory. However, the maximum duration for enrollment is 30 years.
- Please provide all the information / details in the OTM.

#### MANDATORY INFORMATION TO BE PROVIDED IN ONE TIME DEBIT MANDATE (OTM):

- Date of Mandate
- Bank A/c Type
- Bank A/c No. (please enclose CANCELLED cheque leaf)
- Bank Name
- IFSC and/or MICR Code
- Maximum Amount (Rupees and Words)
- Mandate From date
- Mandate To date
- Signature/s of account holders in bank records
- Name/s of account holders as in bank records

#### INSTRUCTIONS FOR TOP-UP SIP CAP

- Investor can choose either Top-Up SIP Cap amount (i.e. maximum SIP installment including Top-Up amount) or Top-Up SIP Cap Month-Year. In case of multiple selection, Top-Up SIP Cap amount will be considered as default selection.
- If none of the above option is selected by the investor, then the Top-Up SIP will continue as per the SIP end date and Top-Up SIP amount specified by the investor and the maximum amount mentioned in OTM Form shall be considered as Top-Up SIP Cap Amount.
- Top-Up SIP CAP (Amount): Investor has an option to freeze the Top-Up SIP CAP amount (i.e. maximum SIP installment including Top-Up amount) once SIP installment amount reaches a fixed predefined amount. Maximum Top-Up SIP cap amount should not exceed the maximum amount mentioned in OTM Debit Mandate Form. In case of difference between the Top-Up SIP CAP Amount & the OTM Debit Mandate maximum amount, then amount which is lower of the two shall be considered as the default amount of Top-Up SIP Cap Amount.
- If SIP Top-Up amount reaches the SIP Top-Up Cap amount before the end of SIP tenure, the Top-Up SIP will cease and last SIP instalment amount will remain constant for remaining installments.
- Top-Up SIP Cap (Month-year) : This is the date from which Top-Up SIP Cap amount will cease and last SIP instalment amount will remain constant for remaining installments.