


**SMALL CAP FUND**

An open-ended Equity Scheme predominantly investing in small cap stocks  
(Previously known as SBI Small & Midcap Fund)

### Investment Objective

To provide investors with opportunities for long-term growth in capital along with the liquidity of an open-ended scheme by investing predominantly in a well diversified basket of equity stocks of small cap companies.

### Fund Details

- Type of Scheme**  
An open-ended Equity Scheme predominantly investing in small cap stocks.
- Date of Allotment:** 09/09/2009
- Report As On:** 31/12/2022
- AAUM for the Month of December 2022**  
₹ 15,417.19 Crores
- AUM as on December 31, 2022**  
₹ 15,348.55 Crores
- Fund Manager:** Mr. R. Srinivasan & \*Mr. Mohit Jain  
**Managing Since:**  
Mr. R. Srinivasan Nov - 2013  
Mr. Mohit Jain Nov - 2017  
**Total Experience:** Over 30 years
- First Tier Benchmark:**  
S&P BSE 250 Small Cap Index TRI
- Exit Load:** For exit within one year from the date of allotment - 1%  
For exit after one year from the date of allotment - Nil
- Entry Load:** N.A.
- Plans Available:** Regular, Direct
- Options:** Growth, IDCW
- SIP**  
Fresh registration through SIP/STP-in on or after February 04, 2021 in the Scheme will be capped at ₹25,000 per month per PAN (first holder/guardian PAN) for daily, weekly, monthly and quarterly frequencies. The caps for various frequencies will be as under:  
Daily SIP/STP-in: ₹1,250,  
Weekly SIP/STP-in: ₹6,250,  
Monthly SIP/STP-in: ₹25,000,  
Quarterly SIP/STP-in: ₹75,000  
All other terms and conditions pertaining to SIPs/STPs remain unchanged under the Scheme. Kindly refer notice cum addendum dated February 03, 2021 for further details.
- Minimum Investment and Additional Investment:**  
Fresh subscriptions through lumpsum investment (including additional investments / Switch in) in the Scheme is discontinued till further notice in terms of notice cum addendum dated September 04, 2020.

### Quantitative Data

Standard Deviation*	: 23.56%
Beta*	: 0.76
Sharpe Ratio*	: 0.93
Portfolio Turnover*	
Equity Turnover	: 0.11
Total Turnover	: 0.54

Total Turnover = Equity + Debt + Derivatives  
\* Source: CRISIL Fund Analyser  
\* Portfolio Turnover = lower of total sale or total purchase for the last 12 months (including equity derivatives) upon Avg. AUM of trailing twelve months.  
Risk Free rate: FBIL Overnight Mibor rate (6.60% as on 30th December 2022) Basis for Ratio Calculation: 3 Years Monthly Data Points  
Ratios are computed using Total Return Index (TRI) as per SEBI Circular dated Jan 4, 2018

NET ASSET VALUE		LAST IDCW		
Option	NAV (₹)	Record Date	IDCW (in ₹/Unit)	NAV (₹)
Reg-Plan-IDCW	67.3610	09-Mar-18 (Reg Plan)	9.10	33.3383
Reg-Plan-Growth	114.0211	09-Mar-18 (Dir Plan)	11.10	40.7137
Dir-Plan-IDCW	86.9102	28-Aug-15 (Reg Plan)	3.60	23.5236
Dir-Plan-Growth	127.3358	28-Aug-15 (Dir Plan)	4.30	27.8630
		30-Jan-15 (Reg Plan)	4.00	26.0785

Pursuant to payment of IDCW, the NAV of IDCW Option of scheme/plans would fall to the extent of payout and statutory levy, if applicable.

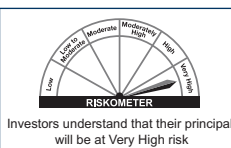
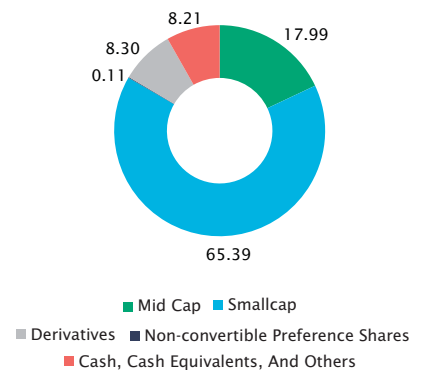
### PORTFOLIO

Stock Name	(%) Of Total AUM	% of AUM Derivatives	Net % of AUM	Stock Name	(%) Of Total AUM	% of AUM Derivatives	Net % of AUM
<b>Equity Shares</b>				VST Industries Ltd.	1.39	-	1.39
Blue Star Ltd.	3.67	-	3.67	V-Mart Retail Ltd.	1.39	-	1.39
Vedant Fashions Ltd.	3.05	-	3.05	City Union Bank Ltd.	1.29	-	1.29
V-Guard Industries Ltd.	2.90	-	2.90	KNR Constructions Ltd.	1.28	-	1.28
Finolex Industries Ltd.	2.86	-	2.86	Go Fashion (India) Ltd.	1.25	-	1.25
Carborundum Universal Ltd.	2.82	-	2.82	Dodla Dairy Ltd.	1.21	-	1.21
Lemon Tree Hotels Ltd.	2.79	-	2.79	Chemplast Sanmar Ltd.	1.15	-	1.15
Elgi Equipments Ltd.	2.73	-	2.73	Ratnamani Metals & Tubes Ltd.	1.08	-	1.08
Sheela Foam Ltd.	2.66	-	2.66	Relaxo Footwears Ltd.	1.07	-	1.07
Triveni Turbine Ltd.	2.62	-	2.62	Star Cement Ltd.	1.03	-	1.03
Fine Organic Industries Ltd.	2.62	-	2.62	Rossari Biotech Ltd.	0.96	-	0.96
Kalpataru Power Transmission Ltd.	2.49	-	2.49	Nuvoco Vistas Corporation Ltd.	0.79	-	0.79
ESAB India Ltd.	2.43	-	2.43	Brigade Enterprises Ltd.	0.67	-	0.67
Timken India Ltd.	2.40	-	2.40	Ge T&D India Ltd.	0.63	-	0.63
Westlife Foodworld Ltd.	2.26	-	2.26	Engineers India Ltd.	0.60	-	0.60
Chalet Hotels Ltd.	2.20	-	2.20	Narayana Hrudayalaya Ltd.	0.49	-	0.49
Rajratan Global Wire Ltd.	2.18	-	2.18	Alembic Ltd.	0.46	-	0.46
LIC Housing Finance Ltd.	2.08	-	2.08	Garware Technical Fibres Ltd.	0.42	-	0.42
PVR Ltd.	2.06	-	2.06	Thangamayil Jewellery Ltd.	0.41	-	0.41
GR Infra Projects Ltd.	2.01	-	2.01	Anand Rathi Wealth Ltd.	0.32	-	0.32
Navin Fluorine International Ltd.	1.86	-	1.86	Zyodus Wellness Ltd.	0.19	-	0.19
Global Health Ltd.	1.76	-	1.76	Gujarat State Petronet Ltd.	0.14	-	0.14
Hatsun Agro Product Ltd.	1.72	-	1.72	National Stock Exchange Of India Ltd.	-	-	-
CMS Infosystems Ltd.	1.69	-	1.69	-25-Jan-23	-	8.30	8.30
Grindwell Norton Ltd.	1.68	-	1.68	<b>Total</b>	<b>83.38</b>	<b>8.30</b>	<b>91.68</b>
CSB Bank Ltd.	1.68	-	1.68	<b>Non-Convertible Preference Shares</b>			
TTK Prestige Ltd.	1.52	-	1.52	Shreno Ltd.	0.11	-	-
ZF Commercial Vehicle Control Systems India Ltd.	1.51	-	1.51	<b>Total</b>	<b>0.11</b>	-	-
Hawkins Cookers Ltd.	1.51	-	1.51	<b>Cash, Cash Equivalents And Others</b>			
Ahluwalia Contracts (India) Ltd.	1.40	-	1.40	<b>And Others</b>	<b>8.21</b>	-	-
				<b>Grand Total</b>	<b>100.00</b>		

### PORTFOLIO CLASSIFICATION BY INDUSTRY ALLOCATION (%)

Capital Goods	19.36
Consumer Durables	13.74
Consumer Services	12.94
Construction	7.78
Chemicals	6.59
Financial Services	5.37
Fast Moving Consumer Goods	4.51
Automobile And Auto Components	3.69
Healthcare	2.25
Media, Entertainment & Publication	2.06
Construction Materials	1.82
Services	1.69
Realty	1.13
Textiles	0.42
Oil, Gas & Consumable Fuels	0.14
Derivatives	8.30
Cash, Cash Equivalents And Others	8.21

### PORTFOLIO CLASSIFICATION BY ASSET ALLOCATION (%)



**SBI Small Cap Fund**  
This product is suitable for investors who are seeking:\*

- Long term capital appreciation
- Investment in equity and equity-related securities predominantly of small cap companies.

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

\*Mr. Mohit Jain is the dedicated fund manager for managing overseas investments of the Scheme.